

1 IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

2 Serial No.09/875,798
3 Filing Date6/5/2001
4 Confirmation No.5525
5 Inventorship Ashvinkumar J. Sanghvi
6 Applicant Microsoft Corporation
7 Group Art Unit2154
8 Examiner MOHAMMAD A. SIDDIQI
9 Attorney's Docket No. MS1-693US
10 Title: Method And Apparatus For Event Handling In An Enterprise

11 **INFORMATION DISCLOSURE STATEMENT**

12 *References -- See Attached Form PTO-1449*

13 **REMARKS**

14 The citations listed, copies attached, are submitted in compliance with the
15 duty of disclosure defined in 37 CFR §1.56. The Examiner is requested to make
16 these citations of official record in this application.

17 Respectfully Submitted,

18 Date: Feb 15, 2006

19 By: 

20 David A. Morasch
21 Reg. No. 42905
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)

| | |
|------------------------|------------------------|
| Application Number | 09875798 |
| Filing Date | 2001-06-05 |
| First Named Inventor | Ashvinkumar J. Sanghvi |
| Art Unit | 2154 |
| Examiner Name | MOHAMMAD A. SIDDIQI |
| Attorney Docket Number | MS1-0683US |

U.S. PATENTS

| Examiner Initial* | Cite No | Patent Number | Kind Code ¹ | Issue Date | Name of Patentee or Applicant of cited Document | Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear |
|-------------------|---------|---------------|------------------------|------------|---|--|
| | 1 | 8678835 | | 2004-01-13 | Shah, et al. | |

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|--------------------|---------|---|----------------|
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**INFORMATION DISCLOSURE
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| Attorney Docket Number | MS1-0683US |

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EXAMINER SIGNATURE

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| Examiner Signature | Date Considered |
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ See Kind Codes of USPTO Patent Documents at www.USPTO.GOV or MPEP §01.04. ² Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). ³ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁴ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁵ Applicant is to place a check mark here if English language translation is attached.

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Not for submission under 37 CFR 1.99)

| | |
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| Application Number | 08675798 |
| Filing Date | 2001-06-05 |
| First Named Inventor | Ashvinkumar J. Sanghvi |
| Art Unit | 2154 |
| Examiner Name | MOHAMMAD A. SIDDIQI |
| Attorney Docket Number | MS1-0863US |

CERTIFICATION STATEMENT

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

- ☐ That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

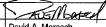
OR

- ☐ That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement.

- ☒ See attached certification statement.
- ☐ Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.
- ☐ None

SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

| | | | |
|------------|---|---------------------|------------|
| Signature |  | Date (YYYY-MM-DD) | 2006-02-15 |
| Name/Print | David A. Morasch | Registration Number | 42,905 |

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**